CUSTOM COMMERCIAL & INDUSTRIAL (C&I) New Construction Program Application
# TABLE OF CONTENTS

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>TERMS AND CONDITIONS</td>
<td>2</td>
</tr>
<tr>
<td>CUSTOM C&amp;I NEW CONSTRUCTION PROJECT SPECIFICATIONS</td>
<td>3</td>
</tr>
<tr>
<td>STEPS FOR SUBMITTING YOUR APPLICATION</td>
<td>5</td>
</tr>
<tr>
<td>PROJECT PRE-APPROVAL CHECKLIST</td>
<td>6</td>
</tr>
<tr>
<td>PRE-APPROVAL APPLICATION</td>
<td>7</td>
</tr>
<tr>
<td>CUSTOM C&amp;I NEW CONSTRUCTION PROGRAM FINAL APPLICATION CHECKLIST</td>
<td>10</td>
</tr>
<tr>
<td>CUSTOM C&amp;I NEW CONSTRUCTION APPLICATION WORKBOOK</td>
<td>11</td>
</tr>
<tr>
<td>FINAL APPLICATION AND PAYMENT AGREEMENT</td>
<td>13</td>
</tr>
<tr>
<td>THIRD PARTY PAYMENT RELEASE (OPTIONAL)</td>
<td>15</td>
</tr>
</tbody>
</table>

Visit our website at appalachianpower.com/save
TERMS AND CONDITIONS

Appalachian Power Company and Wheeling Power Company, dba American Electric Power (collectively referred to herein as "Appalachian Power" or "Appalachian Power Company") offers energy efficiency incentives to facilitate the implementation of cost-effective energy efficiency improvements for non-residential accounts.

Please note that funds are limited and subject to availability.

Program Effective Dates

The Appalachian Power Company Custom Commercial & Industrial (C&I) New Construction Program offers incentives for qualifying energy efficiency projects until approved funds are exhausted or December 31, 2014, whichever comes first. The effective dates of the current Appalachian Power Company Custom C&I New Construction Program and application submittal requirements are as follows:

- The applicant is required to submit a Pre-Approval Application for all custom projects while the existing equipment is still in operation to allow Appalachian Power the opportunity to verify the existing equipment.
- All 2014 Appalachian Power Custom C&I New Construction final applications received and program projects must be completed no later than December 31, 2014 in order to qualify for incentives identified in this application.
- Subsequent program year budgets and plans may be made available towards the end of the existing program year. Appalachian Power may file with the West Virginia Public Utilities Commission to offer this program after the 2014 program year.

Program and Project Eligibility

The Appalachian Power Custom C&I New Construction program offers incentives for non-standard energy efficiency measures. Program incentives are available under the Appalachian Power Custom C&I New Construction program for commercial and industrial (non-residential) accounts served on Appalachian Power’s regulated retail rates. Qualifying projects must be installed in a facility in Appalachian Power's electric service territory in West Virginia. These incentives are available to non-residential customers who pay into the Energy Efficiency and Peak Demand Response (EE/PDR) rider, have a peak demand of at least 125 kW, and receive their electricity over Appalachian Power Company wires. A customer may neither apply for nor receive incentives for the same product, equipment or service from more than one electric utility.

To be eligible for Implementation incentives, customers must implement the entire measure detailed in this application. There will be no partial implementation incentives.

Project requirements under the Appalachian Power Custom C&I Program include the following:

- Project must be associated with a new construction or major renovation project and exceed baseline energy savings (based on West Virginia state code) by at least 10%.
- Customer must be a non-residential customer of Appalachian Power, in the state of West Virginia.
- Facility must have a peak demand of at least 125 kW as recorded by Appalachian Power Company within the last 12 months.

Projects that are NOT eligible for an incentive include the following:

- Specific projects or equipment modifications that have received incentives from another Appalachian Power Company Program.
- Fuel switching (e.g., electric to gas or gas to electric)
- On-site electricity generation
- Projects involving gas-driven equipment in place of or to replace electric equipment (such as a chiller)
- Any project installed at a facility must be sustainable and provide 100% of the energy benefits as stated in the application for a period of at least 5 years or for the life of the measure, whichever is less. If the customer ceases to be a delivery service customer of Appalachian Power Company or removes the equipment or systems at any time during the 5 year period or the life of the measure, the customer may be required to return a prorated amount of incentive funds to Appalachian Power.
- Used or rebuilt equipment is generally NOT eligible for incentives.
- All installed equipment must meet state, Federal, and local codes and requirements.
- Projects must be implemented on the Appalachian Power electric account in West Virginia served by an eligible electric rate type listed on the application.
- Equipment must be purchased, implemented and/or installed, and operating (or capable of operating in the case of season uses) prior to submitting a final application for an incentive. Appalachian Power Company will issue incentive payments in the form of checks, not utility bill credits.
- The incentive is paid as a one-time, one-program offer and cannot be combined with incentive payments from other Appalachian Power Company programs. The customer may be eligible to participate in other programs offered by Appalachian Power, as long as no project receives more than one incentive.

Incentive Payment Limits

The total incentive payment shall not exceed $0.10/kWh saved for each project submitted. There may be an incentive limit of $150,000 per customer per year.
CUSTOM C&I NEW CONSTRUCTION PROJECT SPECIFICATIONS

Qualifications for Custom C&I New Construction Projects

Custom project incentives for custom measures associated with new construction or major renovation projects are based on first-year energy (kWh) savings over the first 12 months after installation and commissioning is complete. Projects involving measures covered by the prescriptive incentive portion of the program are not eligible for a custom incentive.

Custom Efficiency Measure Examples

- Advanced lighting controls
- Complex lighting projects
- Process chillers
- Variable-speed HE, multiplex, or low-temperature compressors
- Cool roof
- Industrial process equipment
- Injection molding equipment
- And more

Submit with Application

- A list of the present and proposed equipment and components slated for installation, including manufacturer’s catalog/model numbers
- Manufacturer’s specifications showing capacities and performance for all major components
- A fully completed Pre-Approval and Final Application

All equipment purchased for custom projects must be new.

In the case of retrofit projects, if possible, the applicant is required to submit a Pre-Approval Application while existing equipment is in operation to allow Appalachian Power the opportunity to verify the existing equipment and baseline energy consumption.

In new projects, the applicant is required to submit documentation listing the baseline equipment specified.

Project payback equals the ratio of the project cost divided by the annual energy bill savings. Eligible custom incentives generally have a project payback greater than or equal to one year and less than or equal to seven years.

Energy-Savings Calculations

Custom C&I energy efficiency applications must be accompanied by detailed engineering calculations using industry-standard engineering algorithms or simulation models that document the annual total energy savings and on-peak demand savings. The applicant must submit calculations and methods used to derive the savings, along with all assumptions used in the calculations.

Include the documented source for these assumptions. Spreadsheet analysis or more rigorous modeling is required. Provide all analysis in electronic format. Include all assumptions such as operating hours, and existing and proposed equipment operational details. Use engineering algorithms and procedures from recognized technical organizations, such as American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE), Sheet Metal and Air Conditioning Contractors’ National Association (SMACNA), American National Standards Institute (ANSI), etc. Use rated performance factors tested under accepted procedures specified by recognized rating agencies such as Air-Conditioning and Refrigeration Institute (AHRI), ANSI, American Society for Testing and Materials (ASTM), etc. Provide an explanation when equipment-performance rating conditions vary from standard conditions.

In support of the calculations, provide extensive documentation that outlines the basis for the savings estimates. The documentation must provide information on the equipment operating schedule, daily and seasonal load profile, and baseline AND energy-efficient equipment performance at the operating loads. Typical documentation for custom projects often includes:

- Baseline/existing and proposed equipment make and model numbers, including operating voltage and rated full-load amps
- Existing equipment condition and age
- Engineering or architectural drawings and equipment schedule sheets
- Component specifications that include part-load efficiency or performance factors
- Spreadsheet calculations or input/output files and results from system modeling or other engineering analysis using accepted engineering algorithms and practices
- Log sheets, trend logs from a building management system or other operating documentation that often are necessary to document operating hours and equipment loading, and are used as a basis for the calculations (In some cases, short-term monitoring may be required to document the load profile.)
- Control sequence of operations that are necessary where controls play a part in the savings equation

Visit our website at appalachianpower.com/save
CUSTOM C&I PROJECT SPECIFICATIONS (cont.)

Additional documentation, other than that described in the application, may be required for program participation.

Larger projects also may require pre- and post-project sub-metering or monitoring of loads and/or power input as part of another measurement and verification activity to demonstrate the actual energy savings realized.

Baseline for Custom Analysis

In situations where equipment is replaced prior to the end of its rated service life (more than 5 years) in order to achieve energy savings, it is permissible to use the existing equipment performance as the baseline for the energy-savings calculations. In situations where equipment is replaced due to failure or for other reasons (such as obsolescence or a need for more capacity), the baseline performance used in the savings calculations should reflect either the minimum performance required by Federal or local energy code for that equipment type and application (where a code applies) or the performance of the equipment that would have been selected as the customer's "standard practice" when a code does not apply.

Appalachian Power Review

Appalachian Power will review the submittal. Appalachian Power is solely responsible for the final determination of the annual energy savings used to calculate the incentive amount.

Appalachian Power reserves the right to conduct inspections both before and after the Custom C&I New Construction energy efficiency project implementation to verify equipment and operating conditions.

Appalachian Power also reserves the right to require specific measurement and verification activities, including monitoring both before and after the energy efficiency project, and to base the incentive payment on the results of these activities.

Additional documentation, other than that described in the application, may be required for program participation. Larger projects also may require pre- and post-project sub-metering or monitoring of loads and/or power input as part of another measurement and verification activity to demonstrate the actual energy savings.

Visit our website at appalachianpower.com/save
Custom Commercial & Industrial
New Construction Program Application

STEPS FOR SUBMITTING YOUR APPLICATION

STEP 1: PRE-APPROVAL APPLICATION
Fill out Applicant Information and sign the Owner Agreement
Sign and submit these items for pre-approval

STEP 2: CONFIRMATION OF RESERVED FUNDS
If your project is Pre-approved, we will send you a letter
Please sign, date and send it back to us to reserve your funds
YOU CANNOT PROCEED WITHOUT THIS LETTER!

STEP 3: COMPLETE PROJECT
Install energy efficient equipment and complete new construction project
Incentive funds will be reserved for up to 90 calendar days

STEP 4: SUBMIT FINAL APPLICATION
Complete the Custom NC Worksheet, Final Application and Payment Agreement
Submit documentation to the address listed below w/in 60 days of project completion
The Program team will review your final application and notify you if we have questions

STEP 5: RECEIVE INCENTIVE PAYMENT
4 to 6 weeks after verification of measure installation

Submit Applications via email or mail to:
Appalachian Power Company Custom C&I Program
Phone: (877) 428-5427
Email: apcocustomci@cleareult.com
Visit our website at: appalachianpower.com/save

Visit our website at appalachianpower.com/save
CUSTOM C&I NEW CONSTRUCTION PROJECT PRE-APPROVAL CHECKLIST

Use this checklist to make sure you submit a complete Pre-Approval Application.

### PRE-APPROVAL APPLICATION REQUIREMENTS

All projects require pre-approval by the Appalachian Power Company Program Team. DO NOT begin your project before you receive a Pre-Approval Letter from Appalachian Power.

**Owner/Account Holder: Pre-Approval Application**

Please contact the Appalachian Power team as soon as possible when considering a major renovation or new construction project. The earlier we learn about your project, the sooner we can review your design and determine which energy efficiency measures can be cost-effectively incorporated into your project and earn incentives from this program.

- Applicant Information
- Customer Agreement and Final Payment Agreement forms
- Project narrative
- Trade Ally/Contractor Information
- Customer Signature
- Application date: __________
- Estimated project cost: $__________
- Estimated completion date: __________
- Project Incentive Proposed: $__________

*Project funds will be reserved for 90 days from reservation date*

*All projects must be implemented by December 31, 2014*

If you have questions, please contact us at (877) 428-5427

apcocustomci@clearesult.com

Visit our website at appalachianpower.com/save
### Custom Commercial & Industrial
#### New Construction Program Application

**PRE-APPROVAL APPLICATION: APPLICANT INFORMATION**

**Important:** Please read the Terms and Conditions before signing and submitting this application. Complete all information and provide required documentation to avoid processing delays.

<table>
<thead>
<tr>
<th>Business Type</th>
<th>W-9 Tax Status</th>
<th>How Did You Hear About the Program?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Office</td>
<td>Corporation</td>
<td>My utility</td>
</tr>
<tr>
<td>Warehouse</td>
<td>Exempt</td>
<td>Web site</td>
</tr>
<tr>
<td>Manufacturing</td>
<td>Gov’t Agency</td>
<td>Contractor</td>
</tr>
<tr>
<td>Healthcare</td>
<td>Individual</td>
<td>Mail/bill insert</td>
</tr>
<tr>
<td>Grocery</td>
<td>LLC</td>
<td>Newspaper</td>
</tr>
<tr>
<td>School-K12</td>
<td>Partnership</td>
<td>Community Event</td>
</tr>
<tr>
<td>Restaurant</td>
<td>Other</td>
<td>Other</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Shift</th>
<th>Square Footage of the Building Included in Project</th>
<th>Does the building have a Data Center?</th>
</tr>
</thead>
<tbody>
<tr>
<td>24/7</td>
<td></td>
<td>Yes or No (circle one)</td>
</tr>
<tr>
<td>16/5</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8/5</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Building Operating Hours (Daily)</th>
<th>Equipment Operating Hours (Annual)</th>
<th>Pursuing LEED Certification?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Yes or No (circle one)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>LEED #</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Expected Completion Date</th>
<th>Estimated Incentive</th>
<th>Total Project Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>$</td>
</tr>
</tbody>
</table>

Owner/Developer ________________________

Project Name (if applicable) ________________________ Name as It Appears on Utility Bill ________________________

Appalachian Power Company Account Number Where Installed ________________________ Taxpayer ID (SSN/FEIN) ________________________

Mailing Address ________________________ City ________________________ State ______ Zip ____________

☐ Check if mailing address and installation address are the same.

Project Address (or location, if address is not assigned) ________________________ City ________________________ State WV Zip ____________

Please provide all contacts we may need to process this application. List the project decision maker, the technical contact, etc. as the contractor contact.

Name of Contact (preferred contact for documentation) ________________________

Title of Contact ________________________ Phone # ________________________ Ext. ________________________

Contact Fax # ________________________ Contact Email ________________________

Visit our website at appalachianpower.com/save
**Contractor Information**

<table>
<thead>
<tr>
<th>Architectural Contact</th>
<th>Company</th>
<th>Phone</th>
<th>Extension</th>
<th>Fax</th>
<th>Title</th>
<th>Email</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Mechanical Contact</th>
<th>Company</th>
<th>Phone</th>
<th>Extension</th>
<th>Fax</th>
<th>Title</th>
<th>Email</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Electrical Contact</th>
<th>Company</th>
<th>Phone</th>
<th>Extension</th>
<th>Fax</th>
<th>Title</th>
<th>Email</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Contractor (if known)</th>
<th>Company</th>
<th>Phone</th>
<th>Extension</th>
<th>Fax</th>
<th>Title</th>
<th>Email</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Other Contact</th>
<th>Company</th>
<th>Phone</th>
<th>Extension</th>
<th>Fax</th>
<th>Title</th>
<th>Email</th>
</tr>
</thead>
</table>

If there are questions about the application who should we contact?  
- Owner  
- Contractor  
- Architectural Contact  
- Mechanical Contact  
- Electrical Contractor

### New Construction Projects (if Applicable)

*Please briefly describe this project below:*

### Renovation Projects (if Applicable)

<table>
<thead>
<tr>
<th>Percentage of Building Under Renovation</th>
<th>Building Age</th>
<th>ASHRAE Version Used</th>
</tr>
</thead>
<tbody>
<tr>
<td>Percentage</td>
<td>Years</td>
<td></td>
</tr>
</tbody>
</table>

Building Systems Under Renovation (please briefly describe below):

Additional Comments:
CUSTOMER AGREEMENT: PRE-APPROVAL APPLICATION

PRE-APPROVAL APPLICATION (please check each box below)

- As an eligible customer, I verify the information is correct and request consideration for participation in this program.
- I have read and understand the program requirements, Terms and Conditions and Final Payment Agreement and agree to abide by those requirements. Furthermore, I meet all eligibility criteria to receive payment under this program. Signed applications received by email or fax will be treated the same as original applications received by mail.

Customer Signature (Appalachian Power Company Customer) ____________________________

Print Name ____________________________

Total Incentive Requested $____________________

Date ____________________________

Estimated Completion Date* ____________

Estimated Total Project Cost** $____________________

*All projects must be installed by December 31, 2014

** Appalachian Power Company will pay the lesser of 1) the calculated incentive as approved by Appalachian Power Company or 2) 80% of the total project cost (not including internal labor).
Custom Commercial & Industrial New Construction Program Application

Once we receive your Pre-Approval Application, we will review it and contact you if we have any questions. If we approve it, we will send you a Pre-Approval letter, which will give you the green light to proceed with your project.

Please do NOT begin installing your project until you receive that letter!

Once you receive the Pre-Approval letter, please sign, date and send it back to us at the address listed on the letter. This step will reserve your incentive dollars.

Then, you’ll be ready to get started implementing your project. When you’ve finished implementing the project, your next step is to complete the Final Application and Payment Agreement.

Visit our website at appalachianpower.com/save
CUSTOM C&I NEW CONSTRUCTION PROGRAM FINAL APPLICATION AND PAYMENT AGREEMENT

Use this checklist to make sure you submit a complete Final Program Application and Payment Agreement within 60 days of project completion.

<table>
<thead>
<tr>
<th>FINAL PROGRAM APPLICATION REQUIREMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Required Information and Attachments</strong></td>
</tr>
<tr>
<td>- Final Application: Custom Worksheet with New Construction Measure Implementation Details</td>
</tr>
<tr>
<td>- Final Program Application: Final Agreement and Signature</td>
</tr>
<tr>
<td>- Project Documentation (as required)</td>
</tr>
<tr>
<td>- Itemized invoices</td>
</tr>
<tr>
<td>- Equipment specifications</td>
</tr>
<tr>
<td>- Photographs</td>
</tr>
<tr>
<td>- Updated scope of work, if project changed</td>
</tr>
<tr>
<td>- W-9 (LLC, individual, partnership, property management companies)</td>
</tr>
</tbody>
</table>

Please Note: Incomplete applications will delay processing and incentive payment

For assistance, please contact our Program team:
Appalachian Power Company Custom C&I Program
Phone: (877) 428-5427

Email: apcocustomci@clearexult.com
Visit our website at: appalachianpower.com/save
## Custom Program Incentives

<table>
<thead>
<tr>
<th>Incentive Levels</th>
<th>First Year kWh Savings</th>
<th>$0.10/first year kWh</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Payback Period</th>
<th>Maximum</th>
<th>7 years</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minimum</td>
<td>1 year</td>
<td></td>
</tr>
</tbody>
</table>

| Caps             | % of Total Incremental Cost | 80% |

## Measure Description

<table>
<thead>
<tr>
<th>Measure #</th>
<th>Measure Category</th>
<th>kWh Savings</th>
<th>$/kWh ($0.10)</th>
<th>Subtotal</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>HVAC, Lighting, Motors/Drives, Refrigeration</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Before Retrofit
- Measure Cost
- Measure Life
- Annual Operating Hours
- Customer’s Current Cost per kWh
- Simple Payback

### After Retrofit
- Measure Cost
- Measure Life
- Annual Operating Hours
- Customer’s Current Cost per kWh
- Simple Payback

<table>
<thead>
<tr>
<th>Measure #</th>
<th>Measure Category</th>
<th>kWh Savings</th>
<th>$/kWh ($0.10)</th>
<th>Subtotal</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>HVAC, Lighting, Motors/Drives, Refrigeration</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Before Retrofit
- Measure Cost
- Measure Life
- Annual Operating Hours
- Customer’s Current Cost per kWh
- Simple Payback

### After Retrofit
- Measure Cost
- Measure Life
- Annual Operating Hours
- Customer’s Current Cost per kWh
- Simple Payback

<table>
<thead>
<tr>
<th>Measure #</th>
<th>Measure Category</th>
<th>kWh Savings</th>
<th>$/kWh ($0.10)</th>
<th>Subtotal</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>HVAC, Lighting, Motors/Drives, Refrigeration</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Before Retrofit
- Measure Cost
- Measure Life
- Annual Operating Hours
- Customer’s Current Cost per kWh
- Simple Payback

### After Retrofit
- Measure Cost
- Measure Life
- Annual Operating Hours
- Customer’s Current Cost per kWh
- Simple Payback

<table>
<thead>
<tr>
<th>Measure #</th>
<th>Measure Category</th>
<th>kWh Savings</th>
<th>$/kWh ($0.10)</th>
<th>Subtotal</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>HVAC, Lighting, Motors/Drives, Refrigeration</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Before Retrofit
- Measure Cost
- Measure Life
- Annual Operating Hours
- Customer’s Current Cost per kWh
- Simple Payback

### After Retrofit
- Measure Cost
- Measure Life
- Annual Operating Hours
- Customer’s Current Cost per kWh
- Simple Payback

---

**CUSTOM C&I NEW CONSTRUCTION TOTAL** $
Calculation Methodology

Please select the calculation methodology used to estimate the savings for this project.

- Bin Analysis
- Engineering Formulae
- Billing Data
- Load Profiles
- Modeling Software
- Pre & Post M&V

¹The project simple payback prior to the incentive payment generally should be greater than one year and less than seven years, or pass cost effectiveness test(s) determined by Appalachian Power Company to qualify for an incentive.

²Eligible projects must result from a permanent facility improvement that results in a permanent reduction in electrical (kWh) energy usage due to an improvement in system efficiency. PJM Peak Hours are defined as the time between June 1 and August 31 on weekdays and non-holidays, between the hours of 2:00 p.m. and 6:00 p.m. Eastern Standard Time.

³Measure Cost is the cost to implement rebated efficiency measures less all costs incurred to achieve other project benefits. The Measure Cost may be the increment required to deliver an efficiency improvement over the base case efficiency. Total custom incentives may not exceed 80% of the total incremental cost.

Visit our website at appalachianpower.com/save
I understand that once the Custom C&I New Construction project has been installed, I will submit the Final Application with any changes and signed final payment agreement. I understand that the location or business name on the invoice must be consistent with the application information. I understand that Appalachian Power Company or its representatives have the right to ask for additional information at any time. Appalachian Power’s Custom C&I New Construction program will make the final determination of incentive levels for this project.

As an eligible Appalachian Power Company customer, I certify that decisions to implement this Custom C&I New Construction project, which will be demonstrated with supporting documentation required by Appalachian Power, were made and that work was completed on this project on or after April 1, 2014. The Custom New Construction measures benefit my business facility. Project documentation, including copies of dated invoices for the purchase of any new equipment or materials required as part of this project, is included.

I agree to verification by the utility or its representatives of both sales transactions and equipment optimization.

I understand that these incentives are available to all non-residential and non-manufacturing customers who pay into the Energy Efficiency and Peak Demand Response (EE/PDR) rider and receive their electricity over Appalachian Power Company wires.

I certify that the information on this application is true and correct; and that the Taxpayer ID Number, tax status, and W-9 are the applicant’s.

I agree that if: 1) I do not implement the related measure(s) identified in my application, or 2) I remove the related measure(s) identified in my application before a period of 5 years or the end of the measure life, whichever is less, then I could be asked to refund a prorated amount of incentive funds to Appalachian Power Company based on the actual period of time in which the related measure(s) were installed and operating (or the full amount if the measure was never implemented). This is necessary to assure that the project’s related energy benefits will be achieved.

I understand that the program may be modified or terminated without prior notice.

Appalachian Power Company reserves the right to refuse payment and participation if the customer or contractor violates Program rules and requirements in Appalachian Power’s sole discretion. Appalachian Power Company is not liable for incentives promised to customers as a result of misrepresentation of the Program.

I agree to be responsible to comply with any applicable codes or ordinances.

All submissions become the property of Appalachian Power. It is recommended you keep a copy for your records.

I understand that this project must involve a facility optimization that results in improved energy efficiency.

The program has a limited budget. Applications will be processed until allocated funds are reserved or spent. Final applications should be received by November 15, 2014 to be eligible for funding under the current program period and all projects must be implemented (installed) by December 31, 2014 to be eligible for incentives.

I understand that Appalachian Power Company does not guarantee the energy savings and does not make any warranties associated with the measures eligible for incentives under this program, and, further, that Appalachian Power Company has no obligations regarding promises, work, or equipment made, performed, or furnished by any contractors or equipment vendors that sell or install any energy efficiency measures and does not endorse or guarantee same.

I understand that in the event the application received pre-approval and funds were reserved based upon the application, such pre-approval or reservation, including the specific dollar amount of reservation, does not represent a guarantee that such funds will be paid. Payment of incentives will be based upon the final review of the application and program terms and conditions, as well as the availability of funds.

Incentives Requested Agreement

I have read and understand the program requirements, measure specifications, and terms and conditions set forth in this application and agree to abide by those requirements. Furthermore, I concur that I must meet all eligibility criteria in order to be paid under this program.

For final applications, sign and submit only after all equipment has been installed and operational. A customer signature is required for payment. Signed applications received by fax or e-mail will be treated the same as original applications received by mail.
# FINAL APPLICATION/COMPLETION NOTICE AND PAYMENT AGREEMENT

## FINAL PAYMENT AGREEMENT (please check each box below)

<table>
<thead>
<tr>
<th>Box</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐️</td>
<td>As an eligible customer, I verify the information is correct and request consideration for participation in this program</td>
</tr>
<tr>
<td>☐️</td>
<td>I have read and understand the program requirements, Terms and Conditions and Final Payment Agreement and agree to abide by those requirements. Furthermore, I meet all eligibility criteria to receive payment under this program. For final applications, sign and submit only after all equipment is installed and operational. A customer signature is required for payment. Signed applications received by email or fax will be treated the same as original applications received by mail.</td>
</tr>
</tbody>
</table>

**Owner Signature (Appalachian Power Company Customer)**

______________________________

**Print Name**

______________________________

**Today’s Date**

______________________________

**Final Incentive Requested**

______________________________

**Project Completion Date**

______________________________

**Total Construction Cost**

______________________________

**Total Project Cost**

______________________________

**Total Design Cost**

______________________________

Visit our website at appalachianpower.com/save
Complete this section ONLY if incentive payment is to be paid to an entity other than the Appalachian Power Company customer listed on the Applicant Information page.

☐ I authorize the payment of the incentive to the third party named below and understand that I will not receive the incentive payment from Appalachian Power. I also understand that my release of the payment to a third party does not exempt me from the program requirements outlined in the measure specifications, Terms and Conditions, and Final Payment Agreement.

Authorized by ___________________________ Date ___________________________

Account Holder Signature (Appalachian Power Company Customer) ___________________________ Print Name ___________________________

Make checks payable to

Company/Individual __________________________________________

Mailing Address __________________________________________ City __________________________ State ____ Zip ________

Phone # __________________________ Taxpayer ID # (SSN/FEIN of payee) __________________________ Tax Status __________________________

¹ Appalachian Power Company will pay the lesser of: 1) the calculated incentive as approved by Appalachian Power Company or 2) an incentive payment not to exceed $0.7/kWh saved for each project submitted. Incentives may be capped at $150,000 per customer per calendar year depending on availability of funds.

Visit our website at appalachianpower.com/save